

FORM PTO-1390 (Modified)  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

KSN6612

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/806401

INTERNATIONAL APPLICATION NO.  
PCT/DE99/03247INTERNATIONAL FILING DATE  
08 October 1999PRIORITY DATE CLAIMED  
09 October 1998

## TITLE OF INVENTION

ELECTRONIC MODULE, ESPECIALLY A MULTICHIP MODULE, WITH MULTI-LAYER METALLIZATION  
AND CORRESPONDING PRODUCTION METHOD

## APPLICANT(S) FOR DO/EO/US

Harry Hedler, Gregor Feiertag, Peter Deml, and Franz Petter

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Check No. in the amount of \$860.00, Copy of Change of Applicant

U.S. APPLICATION NO. (IF KNOWN, SEE 21) <div style="font-size: 24pt; font-weight: bold; text-align: center;">07/806401</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/DE99/03247</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">KSN0012</div>	
<div>21. The following fees are submitted:.</div> <div><b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b> <div><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1,000.00</b></div><div><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b></div><div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b></div><div><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b></div><div><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b></div><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div></div>				<div><b>CALCULATIONS    PTO USE ONLY</b></div> <div style="border: 1px solid black; height: 100px; margin-top: 5px;"></div>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <div style="display: inline-block; width: 100px; text-align: center;"><input type="checkbox"/> 20    <input type="checkbox"/> 30</div> months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9    - 20 =	0	x    \$18.00	<b>\$0.00</b>	
Independent claims	1    - 3 =	0	x    \$80.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <div style="display: inline-block; width: 100px; text-align: center;"><input type="checkbox"/></div>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>=</b>	<b>\$860.00</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <div style="display: inline-block; width: 100px; text-align: center;"><input type="checkbox"/></div>				<b>\$0.00</b>	
<b>SUBTOTAL</b>				<b>=</b>	<b>\$860.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <div style="display: inline-block; width: 100px; text-align: center;"><input type="checkbox"/> 20    <input type="checkbox"/> 30</div> months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE</b>				<b>=</b>	<b>\$860.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <div style="display: inline-block; width: 100px; text-align: center;"><input type="checkbox"/></div>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED</b>				<b>=</b>	<b>\$860.00</b>
				Amount to be:	\$
				refunded	\$
				charged	\$
<div><input checked="" type="checkbox"/> A check in the amount of <b>\$860.00</b> to cover the above fees is enclosed.</div> <div><input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <b>02-0387</b> A duplicate copy of this sheet is enclosed.</div>					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b>					
<div><b>Eric J. Groen</b> <b>Baker &amp; Daniels</b> <b>205 West Jefferson Blvd.</b> <b>Suite 250</b> <b>South Bend, IN 46601</b></div> <div style="margin-top: 20px;"><b>Telephone: (219) 234-4149</b> <b>Fax: (219) 239-1900</b></div>			<div style="text-align: center;"> _____ <b>SIGNATURE</b></div> <div style="margin-top: 10px;"><b>Eric J. Groen</b> _____ <b>NAME</b></div> <div style="margin-top: 10px;"><b>32,230</b> _____ <b>REGISTRATION NUMBER</b></div> <div style="margin-top: 10px;"><b>March 30, 2001</b> _____ <b>DATE</b></div>		

09/806401

JCO3 Reg'd PCT/PTO 30 MAR 2001

Attorney Docket No. KSN0012  
PATENT

CERTIFICATE OF MAILING

The undersigned hereby certifies that the following have been served upon the Honorable Commissioner of Patents & Trademarks by depositing the same in the United States mail, via Express Mail No. EL634031652US this 30<sup>th</sup> day of March, 2001.

- (1) Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371;
- (2) Translation of International Application into English (12 Pages);
- (3) Drawings (4 Sheet);
- (4) Copy of the Publication of the International Application with International Search Report;
- (5) Declaration and Power of Attorney (Unexecuted);
- (6) Copy of the International Preliminary Examination Report;
- (7) Translation of the Annexes to the IPER (3 Pages)
- (8) Copy of the Notification of the Recording of a Change;
- (9) Preliminary Amendment (4 pages);
- (10) Check in the amount of \$860.00 representing the requisite filing fee.

Beth Ingle  
Beth Ingle

EL634031652US

09/806401

*patent application serial no.*

*Department of Commerce  
Patent and Trademark Office  
for record*

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